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PTO/SB/21 (08-00)

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/712,062
Filing Date	November 14, 2003
First Named Inventor	Shunpei YAMAZAKI et al.
Group Art Unit	2826
Examiner Name	Benjamin T. Liu
Attorney Docket Number	0756-7218

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosures 1. 2. 3. 4. 5. 6.
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Remarks

The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 50-2280 for the above identified docket number.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Eric J. Robinson, Reg. No. 38,285 Robinson Intellectual Property Law Office, P.C. PMB 955 21010 Southbank Street Potomac Falls, VA 20165
Signature	
Date	July 8, 2008

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Type or printed name	Tiffany S. Banks		
Signature		Date	July 8, 2008

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL FOR FY 2008

Effective 09/30/2007. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

Complete if Known

Application Number	10/712,062
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Attorney Docket No.	0756-7218

METHOD OF PAYMENT

FEE CALCULATION (continued)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **50-2280**

Deposit Account Name **Robinson Intellectual Property Law Office**

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17 and credit overpayments

Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1001	310	2001 155 Utility filing fee	
1111	510	2111 255 Search fee	
1311	210	2311 105 Examination fee	
Over 100 Sheets/260 for each additional 50			

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20**	= \$50	=
Independent Claims	-3**	= \$210	=
Multiple Dependent			

Large Entity Fee Code	Small Entity Fee Code	Fee Description
1202	50	2202 25 Claims in excess of 20
1201	210	2201 105 Independent claims in excess of 3
1203	370	2203 185 Multiple dependent claim, if not paid
1204	210	2204 105 ** Reissue independent claims over original patent
1205	50	2205 25 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051	130	2051	65 Surcharge – late filing fee or oath	
1052	50	2052	25 Surcharge – late provisional filing fee or cover sheet	
1053	130	1053	130 Non-English specification	
1812	2,520	1812	2,520 For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920* Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840* Requesting publication of SIR after Examiner action	
1251	120	2251	60 Extension for reply within first month	
1252	460	2252	230 Extension for reply within second month	
1253	1,050	2253	525 Extension for reply within third month	
1254	1,640	2254	820 Extension for reply within fourth month	
1255	2,230	2255	1,115 Extension for reply within fifth month	
1401	510	2401	255 Notice of Appeal	
1402	510	2402	255 Filing a brief in support of an appeal	
1403	1,030	2403	515 Request for oral hearing	
1451	1,510	1451	1,510 Petition to institute a public use proceeding	
1452	510	2452	255 Petition to revive – unavoidable	
1453	1,540	2453	770 Petition to revive – unintentional	
1501	1,440	2501	720 Utility issue fee (or reissue)	
1502	820	2502	410 Design issue fee	
1503	1,130	2503	565 Plant issue fee	
1462	400	1462	400 Petitions, Group I	
1463	200	1463	200 Petition, Group II	
1464	130	1464	130 Petitions, Group III	
1807	50	1807	50 Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180 Submission of Information Disclosure Stmt	\$180.00
8021	40	8021	40 Recording each patent assignment per property (times number of properties)	
1809	810	2809	405 Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	810	2810	405 For each additional invention to be examined (37 CFR § 1.129(b))	
1801	810	2801	405 Request for Continued Examination (RCE)	
1802	900	1802	900 Request for expedited examination of a design application	
Other fee (specify) _____				

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$180.00

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Jay S. Noh

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Eric J. Robinson	Registration No. (Attorney/Agent)	38,285	Telephone	(571) 434-6789
Signature				Date	July 8, 2008



TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number	10/712,062
Filing Date	November 14, 2003
First Named Inventor	Shunpei YAMAZAKI et al.
Group Art Unit	2826
Examiner Name	Benjamin T. Liu
Total Number of Pages in This Submission	Attorney Docket Number 0756-7218

ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment / Reply
 - After Final
 - Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
 - Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
- Drawing(s)
- Declaration and Power of Attorney
- Licensing-related Papers
- Petition
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- After Allowance Communication to Group
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1.
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Examiner Name	Benjamin T. Liu
Group Art Unit	2826
Attorney Docket No.	0756-7218

JUL 10 2008
PATENT AND TRADEMARK OFFICE

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number
50-2280Deposit Account Name
Robinson Intellectual Property Law Office

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 and credit overpayments

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FEE CALCULATION (continued)

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1403 1,030	2403 515		Request for oral hearing	
1451 1,510	1451 1,510		Petition to institute a public use proceeding	
1452 510	2452 255		Petition to revive - unavoidable	
1453 1,540	2453 770		Petition to revive - unintentional	
1501 1,440	2501 720		Utility issue fee (or reissue)	
1502 820	2502 410		Design issue fee	
1503 1,130	2503 565		Plant issue fee	
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Other fee (specify) _____

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Name (Print/Type)	Eric J. Robinson	Registration No. (Attorney/Agent)	38,285	Telephone	(571) 434-6789			
Signature	<i>Eric J. Robinson</i>						Date	July 8, 2008



Attorney Docket No. 0756-7218

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Shunpei YAMAZAKI et al.

Serial No. 10/712,062

Filed: November 14, 2003

For: SEMICONDUCTOR DEVICE AND
METHOD OF FABRICATING SAME

) Group Art Unit: 2826

) Examiner: Benjamin T. Liu

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Mail in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450,
Alexandria, VA 22313-1450, on July 8, 2008.

) Jay S. Bal

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. 1.98(a).

Copies of U.S. patents and U.S. publications are not enclosed in accordance with the Notice published in the Official Gazette on August 5, 2003 entitled *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003*, which waives the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. publication.

Except as provided below, in accordance with 37 C.F.R. § 1.97(e), it is certified that either (1) each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement, or (2) no item of information contained in the

Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

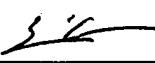
U.S. Patent Nos. 5,966,594; 6,210,997; 6,465,284 (submitted herewith), and 5,663,077 (previously cited in an Information Disclosure Statement filed November 14, 2003) are in the family of JP 07-094756. These U.S. patents were not cited by the Japanese Patent Office, but are submitted herewith for consideration by the Examiner.

U.S. Patent No. 5,620,910 (previously cited in an Information Disclosure Statement filed November 14, 2003) is in the family of JP 08-008439.

A check in the amount of \$180 is being submitted to comply with the provisions of 37 C.F.R. § 1.97(c).

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,


Eric J. Robinson
Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C.
PMB 955
21010 Southbank Street
Potomac Falls, Virginia 20165
(571) 434-6789